

# On $r$ -Hued Coloring of Hypercubes\*

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**Abstract:** For positive integers  $k$  and  $r$ , a  $(k, r)$ -coloring of graph  $G$  is a proper vertex  $k$ -coloring of  $G$  such that the neighbors of any vertex  $v \in V(G)$  receive at least  $\min\{d_G(v), r\}$  different colors. The  $r$ -hued chromatic number of  $G$ , denoted  $\chi_r(G)$ , is the smallest integer  $k$  such that  $G$  admits a  $(k, r)$ -coloring. Let  $Q_n$  be the  $n$ -dimensional hypercube. For any integers  $n$  and  $r$  with  $n \geq 2$  and  $2 \leq r \leq 5$ , we investigated the behavior of  $\chi_r(Q_n)$ , and determined the exact value of  $\chi_2(Q_n)$  and  $\chi_3(Q_n)$  for all positive integers  $n$ .

**Key words:** hypercube; coloring;  $r$ -hued chromatic number

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## 超立方体的 $r$ -Hued 染色

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**摘要:** 对于正整数  $k$  和  $r$ , 图  $G$  的一个  $(k, r)$ -染色是指图  $G$  中顶点的一个正常  $k$ -染色, 并且每个顶点  $v$  的邻域有至少  $d_G(v)$  或  $r$  种不同颜色. 图  $G$  的  $r$ -hued 染色数是最小的整数  $k$  使得  $G$  有一个  $(k, r)$ -染色, 记作  $\chi_r(G)$ . 令  $Q_n$  为  $n$  维超立方体. 对于任意整数  $n$  和  $r$ , 其中  $n \geq 2, 2 \leq r \leq 5$ , 研究了  $\chi_r(Q_n)$ , 并确定了  $\chi_2(Q_n)$  和  $\chi_3(Q_n)$  对于所有正整数  $n$  的精确值.

**关键词:** 超立方体; 染色;  $r$ -hued 染色数

## 0 The Problem

Graphs are often models for interconnection networks. One of the most studied graph model is the  $n$ -dimensional hypercube. As it is known from [1], the  $r$ -hued coloring of graphs can be applied to optimal reconfigurations of networks using multi-agent systems. Therefore, investigating the  $r$ -hued chromatic number of the  $n$ -dimensional hypercubes become a research problem of interests both in theory and applications.

Throughout this paper, for an integer  $m > 0$ , let  $\bar{m} = \{1, 2, \dots, m\}$  and let  $Z_m$  denote the additive cyclic group of order  $m$ . When we use  $Z_m$  as the set of colors, we often use the elements in  $\bar{m}$  for elements in  $Z_m$ , in which case, we do not distinguish  $m$  and 0 in  $Z_m$ . The Cartesian product of two graphs  $G$  and  $H$ , denoted  $G \times H$ , has vertex set  $V(G \times H) = \{uv : u \in V(G) \text{ and } v \in V(H)\}$ , where two vertices  $u_1v_1$  and  $u_2v_2$  are adjacent in  $G \times H$  if both  $u_1 = u_2$  and  $v_1$  is adjacent to  $v_2$  in  $H$ , or if both  $v_1 = v_2$  and  $u_1$  is adjacent to  $u_2$  in  $G$ .

The  $n$ -dimensional hypercube, denoted  $Q_n$ , is a graph with

$$V(Q_n) = \{u_1u_2 \cdots u_n : u_i \in Z_2, 1 \leq i \leq n\} \quad (1)$$

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Hence we can view the vertices of  $Q_n$  as  $n$ -bit binary strings. The vertices  $u_1u_2\cdots u_n$  and  $v_1v_2\cdots v_n$  of  $Q_n$  are adjacent in  $Q_n$  if there exists exactly one integer  $j$  with  $1 \leq j \leq n$ ,  $u_j \neq v_j$  and for any index  $i \neq j$ , we have  $u_i = v_i$ . By the definition of Cartesian products, we observe that

$$Q_n = Q_{n-1} \times K_2.$$

Thus observe that  $|V(Q_n)| = 2^n$  and  $Q_n$  is an  $n$ -regular graph. It follows that  $|E(Q_n)| = n2^{n-1}$ .

For positive integers  $k$  and  $r$ , we define a proper  $k$ -coloring of a graph  $G$  to be a mapping  $c: V(G) \rightarrow Z_m$  if (C1) holds.

(C1) For any edge  $e = uv \in E(G)$ , we have  $c(u) \neq c(v)$ .

We said that a vertex  $v \in V(G)$  satisfies the  $r$ -hued coloring condition if the following (C2) holds.

(C2)  $|c(N_G(v))| \geq \min\{|N_G(v)|, r\}$ .

Suppose that  $c$  is a proper  $k$ -coloring of a graph  $G$  and  $X \subseteq V(G)$  is a vertex subset. If every vertex  $v \in X$  satisfies (C2) under  $c$ , then  $X$  satisfies (C2). A proper  $k$ -coloring of  $G$  such that  $V(G)$  satisfies (C2) is called a  $(k, r)$ -coloring of  $G$ . The smallest integer  $k$  such that  $G$  has a  $(k, r)$ -coloring is the  $r$ -hued chromatic number of  $G$ , denoted  $\chi_r(G)$ .

There have been researches on the  $r$ -hued chromatic number of Cartesian products of graphs. Akbari et al.<sup>[2]</sup> determined the 2-hued chromatic numbers of Cartesian products of two graphs that are either paths or cycles. Jahanbekam et al.<sup>[3]</sup> and Suil<sup>[4]</sup> determined the 3-hued chromatic numbers of Cartesian products of two graphs that are either paths or cycles. Shao et al.<sup>[5]</sup> investigated the  $r$ -hued chromatic number of a path and the square of a path. To the best of our knowledge, there have not been researches on the  $r$ -hued chromatic number of the  $n$ -dimensional hypercubes. This motivates the current research. In this paper, we prove the following.

**Theorem 1** Let  $n$  and  $r$  be integers with  $n \geq 2$  and  $r \geq 2$ .

(i) If  $n \geq 2$ , then  $\chi_2(Q_n) = 4$ .

(ii) If  $n \geq 3$ , then  $\chi_3(Q_n) = 4$ .

(iii) When  $r = 4$ ,  $\chi_4(Q_2) = \chi_4(Q_3) = 4$ , and  $\chi_4(Q_4) = 8$ . Furthermore, if  $n \geq 5$ , then  $\chi_4(Q_n) \leq 8$ .

(iv) When  $r = 5$ ,  $\chi_5(Q_2) = \chi_5(Q_3) = 4$ , and  $\chi_5(Q_4) = \chi_5(Q_5) = 8$ . Furthermore, if  $n \geq 5$ , then  $\chi_5(Q_n) \leq 8$ .

(v) When  $r = 7$ , if  $n \geq 7$ , then  $\chi_7(Q_n) = 8$ .

Elementary properties are developed in Section 1. The tools developed in Section 1 will be applied in our proof arguments in Section 2, where we will justify Theorem 1.

## 1 Elementary Properties

Throughout this section, when the graph  $G$  is understood from the context, we use  $\Delta = \Delta(G)$  to denote the maximum degree of  $G$ . The square of  $G$ , denoted by  $G^2$ , has vertex set  $V(G^2) = V(G)$ , where two vertices  $u, v \in V(G^2)$ , are adjacent in  $G^2$  if and only if either  $u$  and  $v$  are adjacent in  $G$ , or  $u$  and  $v$  have a common neighbor in  $G$ . It is well known (for example, see [1]) that  $\chi_\Delta(G) = \chi(G^2)$ .

It has been observed (for example, see [1,6]) that for any integers  $i, j, \ell$ , satisfying  $1 \leq i \leq j \leq \Delta \leq \ell$ , we always have

$$\chi(G) \leq \chi_i(G) \leq \chi_j(G) \leq \chi_\Delta(G) = \chi(G^2) = \chi_\ell(G) \quad (2)$$

**Lemma 1**<sup>[6]</sup> Let  $n \geq 3$  be an integer and  $C_n$  be the cycle of order  $n$ . If  $r \geq 2$ , then

$$\chi_r(C_n) = \begin{cases} 5, & \text{if } n = 5; \\ 3, & \text{if } n \equiv 0 \pmod{3}; \\ 4, & \text{otherwise.} \end{cases}$$

**Lemma 2**<sup>[6]</sup> Let  $G$  be a connected graph. Then

$$\chi_r(G) \geq \min\{r, \Delta(G)\} + 1.$$

For a vertex subset  $X \subseteq V(G)$  of a graph  $G$ , we use  $G[X]$  to denote the subgraph of  $G$  induced by the vertices in  $X$ . A vertex subset  $X$  is stable if  $E(G[X]) = \emptyset$ . Based on the definition of the hypercube, for an integer  $n \geq 2$ , let  $V^0 = \{x_1x_2\cdots x_{n-1}x_n : x_n = 0, \text{ and } x_i \in Z_2, 1 \leq i \leq n-1\}$  and  $V^1 = \{x_1x_2\cdots x_{n-1}x_n : x_n = 1, \text{ and } x_i \in Z_2, 1 \leq i \leq n-1\}$ . Then each of the induced subgraphs  $Q_n[V^0]$  and  $Q_n[V^1]$  are isomorphic to  $Q_{n-1}$ .

**Observation 1** Let  $X \subset V(Q_3)$  be a stable set. Then  $|X| \leq 4$ , where  $|X| = 4$  if and only if there are two nonadjacent vertices  $w_1, w_2 \in V(Q_3) - X$  such that  $X = N_{Q_3}(w_1) \cup N_{Q_3}(w_2)$ ; and  $|X| = 3$  if and only if we have  $X = N_{Q_3}(w)$  for some vertices  $w \in V(Q_3) - X$ .

The following former results and lemmas will be useful. Recall that  $\chi_\Delta(G) = \chi(G^2)$ . Rix<sup>[7]</sup> proved the following interesting result concerning the square of a hypercube.

**Theorem 2** Let  $k$  and  $n$  be positive integers such that  $n \geq 3$  and  $k = 2^n - 1$ . Then  $\chi(Q_k^2) = 2^n$ .

**Lemma 3** Let  $G$  be a graph with minimum degree  $\delta = \delta(G)$ . If  $\delta \geq r$ , then  $\chi_r(G \times K_2) \leq \chi_r(G)$ .

**Proof** Let  $\ell = \chi_r(G)$  and  $c' : V(G) \rightarrow Z_\ell$  be a  $(k, r)$ -coloring of  $G$ . By the definition of Cartesian product, if we denote  $V(K_2) = \{x, y\}$ , then  $V(G \times K_2) = \{(v, x) : v \in V(G)\} \cup \{(v, y) : v \in V(G)\}$ . Define a mapping  $c : V(G \times K_2) \rightarrow Z_\ell$  as follows.

$$c(v, z) = \begin{cases} c'(v), & \text{if } z = x; \\ c'(v) + 1, & \text{if } z = y. \end{cases}$$

Then since  $c'$  is a  $(k, r)$ -coloring of  $G$ , and since  $c(v, x) = c'(v) \neq c'(v) + 1 = c(v, y)$ , it follows that  $c$  is a proper  $\ell$ -coloring of  $G \times K_2$ . Furthermore, the definition of  $c$  implies that every vertex  $(v, z)$  of  $G \times K_2$  must satisfy (C2). Hence  $c$  is a  $(k, r)$ -coloring of  $G \times K_2$ , and so  $\chi_r(G \times K_2) \leq \chi_r(G)$ .

**Theorem 3** Let  $k$  and  $m$  be positive integers such that  $k = 2^m - 1$ . Each of the following holds.

(i) For any integer  $n \geq k$ ,  $\chi_k(Q_n) = k + 1$ .

(ii) If  $n \geq 7$ , then  $\chi_7(Q_n) = \chi_7(Q_7) = 8$ .

**Proof** By Theorem 2, we have  $\chi(Q_k^2) = k + 1$ . Hence by (2), we have  $\chi_k(Q_k) \leq \chi(Q_k^2) = k + 1$ . Since  $Q_k$  is a  $k$ -regular graph, by Lemma 2, we have  $\chi_k(Q_k) \geq k + 1$ . Hence we must have  $\chi_k(Q_k) = k + 1$ . It follows by Lemma 3 that for any  $n \geq k$ , we have  $\chi_k(Q_n) \leq \chi_k(Q_k) = k + 1$ . Applying Lemma 2, we conclude that

$$\chi_k(Q_n) \geq \min\{\Delta(Q_n), k\} + 1 = \min\{n, k\} + 1 = k + 1,$$

which, together with the fact that  $\chi_k(Q_n) \leq k + 1$ , implies that  $\chi_k(Q_n) = k + 1$ . This proves (i).

As  $7 = 2^3 - 1$ , it follows from (i) with  $k = 7$  that for every integer  $n \geq 7$ , we have  $\chi_7(Q_n) = 8$ , and so (ii) holds.

## 2 Main Results

The main results will be proved in this section. Let  $n \geq 3$  be an integer. By the notation of  $Q_n$  in (1), define the following subsets of  $V(Q_n)$ :

$$V_n^0 = \{u_1 u_2 \cdots u_n : u_1 = 0, u_i \in Z_2, 1 \leq i \leq n\}$$

and

$$V_n^1 = \{u_1 u_2 \cdots u_n : u_1 = 1, u_i \in Z_2, 1 \leq i \leq n\}.$$

Define  $Q_{n-1}^0 = Q_n[V_n^0]$  and  $Q_{n-1}^1 = Q_n[V_n^1]$ . Then both  $Q_{n-1}^0$  and  $Q_{n-1}^1$  are induced subgraphs of  $V(Q_n)$ . For any vertex  $x^0 = 0x_2x_3 \cdots x_n \in V(Q_{n-1}^0)$ , define  $\phi : V_n^0 \rightarrow V_n^1$  by  $\phi(x^0) = 1x_2x_3 \cdots x_n \in V(Q_{n-1}^1)$ . We often use  $x^1 = \phi(x^0)$  and call  $x^1$  the corresponding vertex of  $x^0$ . As  $\phi$  is a bijection, we also call  $x^0$  the corresponding vertex of  $x^1$ , and  $\phi$  the corresponding mapping. As  $Q_n = Q_{n-1} \times K_2$ , both  $Q_{n-1}^0$  and  $Q_{n-1}^1$  are two copies of  $Q_{n-1}$  in the product, and we have

$$E(Q_n) = E(Q_{n-1}^0) \cup E(Q_{n-1}^1) \cup \{x^0 x^1 : x^0 \in V(Q_{n-1}^0)\}.$$

**Theorem 4** Let  $n \geq 2$  be an integer. Then  $\chi_2(Q_1) = 2$ . Furthermore, if  $n \geq 2$ , then  $\chi_2(Q_n) = 4$ .

**Proof** Since  $Q_1 = K_2$ , we have  $\chi_2(Q_1) = 2$ . Since  $Q_2 = C_4$ , it follows by Lemma 1 that  $\chi_2(Q_2) = 4$ . As  $\delta(Q_2) = 2 = r$ , it follows from Lemma 3 that for any  $n \geq 2$ , we have  $\chi_2(Q_n) \leq 4$ . Assume that  $n \geq 2$ . To prove that  $\chi_2(Q_n) = 4$ , we need to show  $\chi_2(Q_n) \geq 4$  for any integer  $n \geq 2$ . We first prove the following claim.

**Claim 1** If  $n \geq 3$ , then for any proper coloring  $c : V(Q_n) \rightarrow \bar{3}$ ,  $Q_n$  contains a vertex  $w \in V(Q_n)$  with the property that for some color  $i \in \bar{3}$ , we have  $N_{Q_n}(w) \subseteq c^{-1}(i)$ .

**Proof** We prove the claim by induction on  $n$ . The argument in the paragraph above shows that this holds for  $n = 3$ . Assume that  $n \geq 4$  and Claim 1 is valid for all integers  $n'$  with  $3 \leq n' < n$ . Let  $c$  be a proper coloring of  $Q_n$ . Let  $Q_{n-1}^0$  and  $Q_{n-1}^1$  be the two copies of  $Q_{n-1}$  in the product, and let  $\phi : V(Q_{n-1}^0) \rightarrow V(Q_{n-1}^1)$  denote the corresponding mapping. Let  $c^0$  be

the restriction of  $c$  to  $V(Q_{n-1}^0)$ . As  $c$  is a proper coloring of  $Q_n$ ,  $c^0$  is also a proper coloring of  $Q_{n-1}^0$ . By induction, there must exist a vertex  $w^0 \in V(Q_{n-1}^0)$  such that  $c(N_{Q_{n-1}^0}(w^0)) = c^0(N_{Q_{n-1}^0}(w^0)) = \{1\}$ . By symmetry, we may assume that  $c(w^0) = 2$ . Let  $w^1 = \phi(w^0) \in V(Q_{n-1}^1)$  be the vertex copying  $w^0$ . As  $c$  is a proper coloring, we conclude that  $c(w^1) \in \{1, 3\}$ . If  $c(w^1) = 1$ , then  $N_{Q_n}(w^0) \subseteq c^{-1}(1)$  and so the claim is proved. Assume that  $c(w^1) = 3$ . For any vertex  $v \in \phi(N_{Q_{n-1}^0}(w^0)) = N_{Q_{n-1}^1}(w^1)$ , as  $v$  is adjacent to both  $w^1$  and a vertex in  $N_{Q_{n-1}^0}(w^0)$ , we conclude that  $c(v) \notin \{c(w^1)\} \cup c(N_{Q_{n-1}^0}(w^0)) = \{1, 3\}$ . Hence  $c(v) = 2$ , and so  $c(N_{Q_{n-1}^1}(w^1)) = \{2\}$ . It follows from that fact  $c(w^0) = 2$  that  $N_{Q_n}(w^1) = \{2\}$ , and so the claim is proved by induction.

By Claim 1, any proper 3-coloring of  $Q_n$  cannot be a (3,2)-coloring of  $Q_n$  and so every 2-hued coloring of  $Q_n$  must have at least 4 colors. Consequently, we have  $\chi_2(Q_n) \geq 4$ . This, together with the fact that  $\chi_2(Q_n) \leq 4$ , implies that  $\chi_2(Q_n) = 4$ . This proves Theorem 4.

Let  $V(G) = \{v_1, v_2, \dots, v_n\}$  denote the (labeled) vertex set of a graph  $G$ . We use a  $2 \times n$  array to represent a coloring  $c : V(G) \rightarrow \bar{k}$  such that the first row of the array lists the vertices and for every index  $i$ ,  $c(v_i)$ , the color of  $v_i$ , is the entry of the  $i$ th column and the second row.

**Theorem 5** Let  $n \geq 2$  be an integer. Then  $\chi_3(Q_1) = 2$ . Furthermore, if  $n \geq 3$ , then  $\chi_3(Q_n) = 4$ .

**Proof** As  $Q_1 = K_2$ , we again have  $\chi_3(Q_1) = 2$ . Once again that we have  $\chi_3(Q_2) = 4$  by Lemma 1. Assume that  $n \geq 3$ . Define a mapping  $c : V(Q_3) \rightarrow \bar{4}$  as follows.

$$\begin{pmatrix} 000 & 001 & 010 & 011 & 100 & 101 & 110 & 111 \\ 2 & 4 & 1 & 3 & 3 & 1 & 4 & 2 \end{pmatrix} \tag{3}$$

The coloring classes of  $c$  are displayed in Table 1.

By Table 1, every color class of  $c$  is a stable set, and so we conclude that  $c$  is a coloring satisfying (C1). By the definition of coloring  $c$  in (3), the colors of the neighbors of every vertex in  $Q_3$  are displayed in Table 2.

By Table 2, every vertex in  $Q_3$  has 3 different colors appearing in their neighbors. We conclude that  $c$  is a (4,3)-coloring of  $Q_3$ . Hence  $\chi_3(Q_3) \leq 4$ . As  $Q_3$  is a 3-regular graph, it follows by Lemma 2 that  $\chi_3(Q_3) \geq 4$ . Therefore we must have  $\chi_3(Q_3) = 4$ . Again as  $\delta(Q_n) \geq 3$  for any  $n \geq 3$ , by

Lemma 3, we have  $\chi_3(Q_n) \leq \chi_3(Q_3) = 4$ . On the other hand, by applying Lemma 2 with  $r = 3$ , we conclude that  $\chi_3(Q_n) \geq 4$ . Thus we must have  $\chi_3(Q_n) = 4$ , for every integer  $n \geq 2$ .

**Theorem 6** Let  $n \geq 2$  be an integer. Then  $\chi_4(Q_1) = 2$ ,  $\chi_4(Q_2) = \chi_4(Q_3) = 4$ ,  $\chi_4(Q_4) = 8$ . Furthermore, if  $n \geq 5$ , then  $\chi_4(Q_n) \leq 8$ .

**Proof** By (2), we have  $\chi_4(Q_1) = 2$ ,  $\chi_4(Q_2) = \chi_4(Q_3) = 4$ . To show that  $\chi_4(Q_4) = 8$ , we construct a mapping  $c : V(Q_4) \rightarrow \bar{8}$  as follows.

$$c = \begin{pmatrix} 0000 & 0001 & 0010 & 0011 & 0100 & 0101 & 0110 & 0111 \\ 1 & 3 & 2 & 4 & 4 & 2 & 3 & 1 \end{pmatrix} \tag{4}$$

$$\begin{pmatrix} 1000 & 1001 & 1010 & 1011 & 1100 & 1101 & 1110 & 1111 \\ 5 & 6 & 8 & 7 & 7 & 8 & 6 & 5 \end{pmatrix}$$

By (4), the coloring classes of  $c$  are displayed in Table 3.

**Table 3 Color classes of the mapping  $c$  in (4)**

| $c^{-1}(1)$ | $c^{-1}(2)$ | $c^{-1}(3)$ | $c^{-1}(4)$ | $c^{-1}(5)$ | $c^{-1}(6)$ | $c^{-1}(7)$ | $c^{-1}(8)$ |
|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| {0000,0111} | {0010,0101} | {0001,0110} | {0011,0100} | {1000,1111} | {1001,1110} | {1011,1100} | {1010,1101} |

By Table 3, every color class of  $c$  is a stable set, and so we conclude that  $c$  is a coloring satisfying (C1). By the definition of coloring  $c$  in (4), the colors of the neighbors of every vertex in  $Q_4$  are displayed in Table 4.

By Table 4, every vertex in  $Q_4$  has 4 different colors appearing in their neighbors. we conclude that  $c$  is a (8,4)-coloring of  $Q_4$ . Hence  $\chi_4(Q_4) \leq 8$ .

**Table 4** Colors of the neighbors of every vertex in  $Q_4$

| $v$  | $c(N_{Q_4}(v))$ | $v$  | $c(N_{Q_4}(v))$ | $v$  | $c(N_{Q_4}(v))$ | $v$  | $c(N_{Q_4}(v))$ |
|------|-----------------|------|-----------------|------|-----------------|------|-----------------|
| 0000 | {2, 3, 4, 5}    | 0100 | {1, 2, 3, 7}    | 1000 | {1, 6, 7, 8}    | 1100 | {4, 5, 6, 8}    |
| 0001 | {1, 2, 4, 6}    | 0101 | {1, 3, 4, 8}    | 1001 | {3, 5, 7, 8}    | 1101 | {2, 5, 6, 7}    |
| 0010 | {1, 3, 4, 8}    | 0110 | {1, 2, 4, 6}    | 1010 | {2, 5, 6, 7}    | 1110 | {3, 5, 7, 8}    |
| 0011 | {1, 2, 3, 7}    | 0111 | {2, 3, 4, 5}    | 1011 | {4, 5, 6, 8}    | 1111 | {1, 6, 7, 8}    |

We argue by contradiction to show that  $\chi_4(Q_4) \geq 8$ . Assume that  $Q_4$  has a  $(7, 4)$ -coloring  $c : V(Q_4) \rightarrow \bar{7}$ . Let  $c_0 : V(Q_3^0) \rightarrow \bar{7}$  and  $c_1 : V(Q_3^1) \rightarrow \bar{7}$  be two colorings such that

$$\forall v \in V(Q_3^0), c_0(v) = c(v) \text{ and } \forall v \in V(Q_3^1), c_1(v) = c(v).$$

As  $|V(Q_3)| = 8 > 7$ , there must be two vertices in  $V(Q_3^0)$  colored by  $c_0$  with the same color.

**Claim 2** Suppose that for some color  $i$ , we have  $|c_0^{-1}(i)| \geq 2$ . Then the following statements holds.

- (i)  $|c_0^{-1}(i)| = 2$  and the vertices in  $c_0^{-1}(i)$  must be of distance 3 in  $Q_3^0$ .
- (ii)  $c_1^{-1}(i) = \emptyset$ .

**Proof** By symmetry, we assume that  $|c_0^{-1}(1)| \geq 2$  and  $0000 \in c_0^{-1}(1)$ . Let  $x^0 \in c_0^{-1}(1) - \{0000\}$ . Since  $Q_3$  has diameter 3, the distance between  $x^0$  and 0000 is either 2 or 3.

Suppose the distance between  $x^0$  and 0000 is 2. By symmetry, we may assume that  $x^0 = 0011$ . As both 0000, 0011  $\in N_{Q_4}(0001)$  and  $Q_4$  is a 4-regular graph, it follows that  $|c(N_{Q_4}(0001))| \leq 3$ , contrary to that assumption that  $c$  is a  $(7, 4)$ -coloring of  $Q_4$ . Hence the distance between  $x^0$  and 0000 in  $Q_3^0$  must be 3 and so  $x^0 = 0111$ . This proves Claim 2(i).

We are to prove that  $c_1^{-1}(1) = \emptyset$ . Since  $c$  is proper and  $c(0000) = c(0111) = 1$ , we have  $1000, 1111 \notin c_1^{-1}(1)$ . Examine a vertex 1001 with distance 1 from 1000 in  $Q_3^1$ . If  $c(1001) = 1$ , then  $N_{Q_4}(1000)$  has two vertices 0000 and 1001 in  $c^{-1}(1)$ . Since  $Q_4$  is 4-regular, the fact that  $N_{Q_4}(1000)$  has two vertices colored with the same color would imply that  $|c(N_{Q_4}(1000))| \leq 3$ , contrary to the assumption that  $c$  is a  $(7, 4)$ -coloring of  $Q_4$ . Hence  $1001 \notin c_1^{-1}(1)$ . By the same argument, any vertex of distance one from 1000 in  $Q_3^1$  cannot be in  $c_1^{-1}(1)$ . By symmetry, any vertex of distance 1 from 1111 in  $Q_3^1$  cannot be in  $c_1^{-1}(1)$ . Hence we must have  $c_1^{-1}(1) = \emptyset$ , and so Claim 2(ii) is proved.

By Claim 2(ii),  $c_1 : V(Q_3^1) \rightarrow \bar{7} - \{1\}$  is a  $(6, 1)$ -coloring of  $Q_3^1$ . As  $|V(Q_3)| = 8$ , either for some color  $i$ , we have  $|c_1^{-1}(i)| \geq 3$ , or for two distinct colors  $i', i''$ , we have  $|c_1^{-1}(i')| = |c_1^{-1}(i'')| = 2$ . If for some color  $i$ , we have  $|c_1^{-1}(i)| \geq 3$ , then by Observation 1(i),  $Q_3^1$  contains a vertex  $w \in V(Q_3^1) - c_1^{-1}(i)$  with the property that  $|N_{Q_3}(w) \cap c_1^{-1}(i)| \geq 2$ . Thus  $|c(N_{Q_4}(w))| \leq 3$ , contrary to that assumption that  $c$  is a  $(7, 4)$ -coloring of  $Q_4$ . Therefore, we must have two colors  $i', i'' \in \bar{7} - \{1\}$  such that  $|c_1^{-1}(i')| = |c_1^{-1}(i'')| = 2$ . Then apply Claim 2(ii) with  $c_0^{-1}(i)$  replaced by each of  $c_1^{-1}(i')$  and  $c_1^{-1}(i'')$ , we conclude that  $c_0^{-1}(i') = \emptyset$  and  $c_0^{-1}(i'') = \emptyset$ , and so  $c_0 : V(Q_3^0) \rightarrow \bar{7} - \{i', i''\}$  is a  $(5, 1)$ -coloring of  $Q_3^0$ . If  $Q_3^0$  has three vertices colored with the same color under  $c$ , then by Observation 1,  $Q_3^0$  will have a vertex  $w$  such that all vertices in  $N_{Q_3}(w)$  are colored with the same color, contrary to the assumption that  $c$  is a  $(7, 4)$ -coloring of  $Q_4$ . Hence we must have three distinct colors  $i_1, i_2, i_3 \in \bar{7} - \{i', i''\}$  such that for each  $j \in \{1, 2, 3\}$ , we have  $|c_0^{-1}(i_j)| = 2$ . Applying Claim 2(ii) again, we conclude that  $c_1 : V(Q_3^1) \rightarrow \bar{7} - \{i_1, i_2, i_3\}$  is a  $(4, 1)$ -coloring of  $Q_3^1$ . Consequently, we conclude that for every color  $j \in \bar{7} - \{i_1, i_2, i_3\}$ , we have  $|c_1^{-1}(j)| = 2$ . By applying Claim 2(ii) one more time, we conclude that for each color  $j \in \bar{7} - \{i_1, i_2, i_3\}$ , we must have  $c_0^{-1}(j) = \emptyset$ , and so  $c_0 : V(Q_3^0) \rightarrow \{i_1, i_2, i_3\}$  is a  $(3, 1)$ -coloring of  $Q_3^0$ . It follows that one of the three colors must be used to color three different vertices in  $V(Q_3^0)$  under  $c$ . By Observation 1,  $Q_3^0$  must contain a vertex  $w \in V(Q_3^0)$  with  $|c_0(N_{Q_3}(w))| = 1$ . As a result,  $c$  cannot be a  $(7, 4)$ -coloring of  $Q_4$ , contrary to the assumption. This proves that  $Q_4$  does not have any  $(7, 4)$ -coloring, and so we must have  $\chi_4(Q_4) \geq 8$ . This, together with  $\chi_4(Q_4) \leq 8$ , implies that  $\chi_4(Q_4) = 8$ .

Since  $Q_4$  is a 4-regular graph with  $\chi_4(Q_4) = 8$ , it follows by Lemma 3 that for any  $n \geq 4$ , we also have  $\chi_4(Q_n) \leq 8$ .

To prove the next theorem, we need more Lemmas.

**Lemma 4** For any integer  $n \geq 3$ ,

$$\chi_n(Q_n) \geq \chi_{n-1}(Q_{n-1}).$$

**Proof** By definition of hypercubes, every vertex of  $Q_n$  has degree  $n$ . Let  $k = \chi_n(Q_n)$  and  $c : V(Q_n) \rightarrow \bar{k}$  be a  $(k, n)$ -coloring of  $Q_n$ . Then for any vertex  $v \in V(Q_n)$ , we have  $|c(N_{Q_n}(v))| = n$ . Define  $c_0$  to be a coloring of  $Q_{n-1}^0$  such that for

any vertex  $v \in V(Q_{n-1}^0)$ , we have  $c_0(v) = c(v)$ . Then as  $Q_{n-1}$  is an  $(n-1)$ -regular and as, for any  $v \in V(Q_{n-1}^0)$ , we have  $|N_{Q_{n-1}}(v)| = |N_{Q_n}(v)| - 1$ , it follows that

$$|c_0(N_{Q_{n-1}}(v))| = |c(N_{Q_n}(v))| - 1 = n - 1.$$

This implies that  $c_0$  is a  $(k, n-1)$ -coloring of  $Q_{n-1}$ , and so  $\chi_{n-1}(Q_{n-1}) \leq k$ .

**Theorem 7** Let  $n \geq 2$  be an integer. Then for  $2 \leq \ell \leq 4$ , we have  $\chi_5(Q_\ell) = \chi_4(Q_\ell)$ . Furthermore, we have  $\chi_5(Q_5) = 8$  and if  $n \geq 6$ , then  $\chi_5(Q_n) \leq 8$ .

**Proof** For  $2 \leq \ell \leq 4$ , the equalities  $\chi_5(Q_\ell) = \chi_4(Q_\ell)$  follow from (2). By Lemma 3, it suffices to prove that  $\chi_5(Q_5) = 8$ . We construct a  $(8, 5)$ -coloring of  $Q_5$  to show that  $\chi_5(Q_5) \leq 8$ . Define  $c : V(Q_5) \rightarrow \bar{8}$  by the following, which are expressed according to different group of vertices in  $Q_5$ . For vertices in  $V(Q_4^0)$ ,  $c$  is defined by

$$\begin{pmatrix} 00000 & 00001 & 00010 & 00011 & 00100 & 00101 & 00110 & 00111 \\ 1 & 3 & 2 & 4 & 4 & 2 & 3 & 1 \end{pmatrix} \tag{5}$$

$$\begin{pmatrix} 01000 & 01001 & 01010 & 01011 & 01100 & 01101 & 01110 & 01111 \\ 5 & 7 & 6 & 8 & 8 & 6 & 7 & 5 \end{pmatrix}$$

and for vertices in  $V(Q_4^1)$ ,  $c$  is defined by

$$\begin{pmatrix} 10000 & 10001 & 10010 & 10011 & 10100 & 10101 & 10110 & 10111 \\ 8 & 6 & 7 & 5 & 5 & 7 & 6 & 8 \end{pmatrix} \tag{6}$$

$$\begin{pmatrix} 11000 & 11001 & 11010 & 11011 & 11100 & 11101 & 11110 & 11111 \\ 3 & 1 & 4 & 2 & 2 & 4 & 1 & 3 \end{pmatrix}$$

By (5) and (6), the coloring classes of  $c$  are displayed in Table 5.

**Table 5 Color classes of the mapping  $c$  defined in (5) and (6)**

| $c^{-1}(1)$                  | $c^{-1}(2)$                  | $c^{-1}(3)$                  | $c^{-1}(4)$                  |
|------------------------------|------------------------------|------------------------------|------------------------------|
| {00000, 00111, 11001, 11110} | {00010, 00101, 11100, 11011} | {00001, 00110, 11000, 11111} | {00011, 00100, 11010, 11101} |
| $c^{-1}(5)$                  | $c^{-1}(6)$                  | $c^{-1}(7)$                  | $c^{-1}(8)$                  |
| {01000, 01111, 10011, 10100} | {01010, 01101, 10001, 10110} | {01001, 01110, 10010, 10101} | {01011, 01100, 10000, 10111} |

By Table 5, every color class of  $c$  is a stable set, and so we conclude that  $c$  is a coloring satisfying (C1). The definition of  $c$  as stated in (5) and (6) also yields the following neighborhood color information, as displayed in Table 6.

**Table 6 Colors of the neighbors of every vertex in  $Q_5$**

| $v$   | $c(N_{Q_5}(v))$ | $v$   | $c(N_{Q_5}(v))$ | $v$   | $c(N_{Q_5}(v))$ | $v$   | $c(N_{Q_5}(v))$ |
|-------|-----------------|-------|-----------------|-------|-----------------|-------|-----------------|
| 00000 | {2, 3, 4, 5, 8} | 00100 | {1, 2, 3, 5, 8} | 01000 | {1, 3, 6, 7, 8} | 01100 | {2, 4, 5, 6, 7} |
| 00001 | {1, 2, 4, 6, 7} | 00101 | {1, 3, 4, 6, 7} | 01001 | {1, 3, 5, 6, 8} | 01101 | {2, 4, 5, 7, 8} |
| 00010 | {1, 3, 4, 6, 7} | 00110 | {1, 2, 4, 6, 7} | 01010 | {2, 4, 5, 7, 8} | 01110 | {1, 3, 5, 6, 8} |
| 00011 | {1, 2, 3, 5, 8} | 00111 | {2, 3, 4, 5, 8} | 01011 | {2, 4, 5, 6, 7} | 01111 | {1, 3, 6, 7, 8} |
| 10000 | {1, 3, 5, 6, 7} | 10100 | {2, 4, 6, 7, 8} | 11000 | {1, 2, 4, 5, 8} | 11100 | {1, 3, 4, 5, 8} |
| 10001 | {1, 3, 5, 7, 8} | 10101 | {2, 4, 5, 6, 8} | 11001 | {2, 3, 4, 6, 7} | 11101 | {1, 2, 3, 6, 7} |
| 10010 | {2, 4, 5, 6, 8} | 10110 | {1, 3, 5, 7, 8} | 11010 | {1, 2, 3, 6, 7} | 11110 | {2, 3, 4, 6, 7} |
| 10011 | {2, 4, 6, 7, 8} | 10111 | {1, 3, 5, 6, 7} | 11011 | {1, 3, 4, 5, 8} | 11111 | {1, 2, 4, 5, 8} |

By Table 6, every vertex has 5 different colors showing up in its neighbors. Therefore, we conclude that  $c$  is a  $(8, 5)$ -coloring of  $Q_5$ . Hence  $\chi_5(Q_5) \leq 8$ .

To show that  $\chi_5(Q_5) \geq 8$ , we apply Lemma 4 with  $n = 5$  to conclude that

$$\chi_5(Q_5) \geq \chi_4(Q_4) = 8.$$

This proves that  $\chi_5(Q_5) = 8$ .